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Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/647,586
Filing Date	12/22/2000
First Named Inventor	KITAS
Group Art Unit	1646
Examiner Name	UNKNOWN
Attorney Docket Number	RDID 0063 US

Total Number of Pages in This Submission **8**

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Sequence Listing (2pp)/CRF Statement in Support of Filing (1pp) Notice of Defective Response (2pp)
<div>Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jill L. Woodburn The Law Office of Jill L. Woodburn, L.L.C.
Signature	
Date	01/24/2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 01/24/2003			
Typed or printed name	Jill L. Woodburn		
Signature		Date	01/24/2003

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U.S. Patent and Trademark Office  
Docket No. RDID 0063 US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: KITAS *et al.*

Application No.: 09/647,586

Group No.: 1646

Filed: 12/22/2000

Examiner: Unknown

For: COMPOUNDS FOR DNA-TRANSFECTION

Box SN

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT

Dear Sir:

In response to the Notification of Defective Response mailed January 3, 2003, entry of the following amendment and consideration of the accompanying remarks is respectfully requested.


Please amend the specification to include the attached Sequence Listing.

The Notification of Defective Response requested that a Sequence Listing be submitted as well as an amendment directing its entry into the specification. This paper should be considered a request to enter the attached Sequence Listing into the specification.

The application is deemed to be in condition for allowance, and allowance of the application is respectfully requested. In addition, it is requested that any fees due be charged to Deposit Account Number 50-0877 with reference to (RDID 0063 US).

Respectfully submitted,

Date: January 24, 2003

  
Jill L. Woodburn, Reg. 39,874  
The Law Office of Jill L. Woodburn, L.L.C.  
128 Shore Dr.  
Ogden Dunes, IN 46368-1015  
Telephone: (219) 764-4005  
Fax: (219) 764-4070



UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO. 09/647,586	FIRST NAMED APPLICANT Eric Argirios Kitas	ATTY. DOCKET NO. RDID0063US
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 THE LAW OFFICE OF JILL L. WOODBURN, L.L.C.  
 JILL L. WOODBURN  
 128 SHORE DR.  
 OGDEN DUNES, IN 46368

INTERNATIONAL APPLICATION NO. PCT/EP99/02361	
I.A. FILING DATE 04/07/1999	PRIORITY DATE 04/07/1998



Date Mailed: 01/03/2003

CONFIRMATION NO. 8561  
 371 FORMALITIES LETTER  

 \*OC000000009325730\*

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Initial Application Filing Fees
- Oath or Declaration
- Original Specification
- Preliminary Amendments
- Request for Immediate Examination

Applicant's response filed 12/22/2000 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 10/16/2000 have not been completed.

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/647,586	PCT/EP99/02361	RDID0063US

FORM PCT/DO/EO/916 (371 Formalities Notice)